



CONFORMITY ASSESSMENT BODY  
EIDAS  
TRUST SERVICE PROVIDERS  
ISO 27001  
LA ISO 27001  
LI ISO 27001  
RM ISO 27005



## Audit Attestation for

### **LUXTRUST SA**

**Reference: No. 11085-57-AL-V1.0**

*Saint-Malo, 29<sup>th</sup> June 2018*

To whom it may concern,

This is to confirm that LSTI has successfully audited the CAs of the LUXTRUST SA without critical findings.

This present Audit Attestation Letter is registered under the unique identifier number n°11085-57-AL-V1.0 and consist of 9 pages.

Kindly find here-below the details accordingly.

In case of any question, please contact:

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With best regards,

Armelle Trotin  
Head of Certification Body

<b>Identification of the conformity assessment body (CAB):</b>	LSTI <sup>1</sup> , 10 Avenue Anita Conti, 35400 Saint-Malo, France registered under n°453867863 Accredited by COFRAC under registration number 5-0546 in accordance with EN ISO/IEC 17065:2013 and in accordance with the eIDAS EU Regulation art. 3 (18) and the ETSI EN 319 403 v2.2.2. Detailed scope at <a href="http://cofrac.fr/en/easysearch/index.php">http://cofrac.fr/en/easysearch/index.php</a>
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<b>Identification of the trust service provider (TSP):</b>	LUXTRUST CAs are operated by Clearstream registered under RC Luxembourg n°B60911 on behalf of LUXTRUST SA registered under R.C.S. Luxembourg B112233 IVY Building 13-15 Parc d'activités L-8308 Capellen - Luxembourg
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Identification of the audited Root-CA:	<i>LUXTRUST Root CA</i>	
	Distinguished Name	<i>LuxTrust Global Root</i>
	SHA-256 fingerprint	A1 B2 DB EB 64 E7 06 C6 16 9E 3C 41 18 B2 3B AA 09 01 8A 84 27 66 6D 8B F0 E2 88 91 EC 05 19 50
	Certificate Serial number	SN : 0B:B8
	Applied policy	OID : 1.3.171.1.1.1.10
	Validity	<i>17 March 2011 until 17 March 2021</i>

Identification of the audited Root-CA:	<i>LUXTRUST Root CA (renewal of the previous RootCA hierarchy)</i>	
	Distinguished Name	<i>LuxTrust Global Root 2</i>
	SHA-256 fingerprint	54 45 5F 71 29 C2 0B 14 47 C4 18 F9 97 16 8F 24 C5 8F C5 02 3B F5 DA 5B E2 EB 6E 1D D8 90 2E D5
	Certificate Serial number	SN : 0A 7E A6 DF 4B 44 9E DA 6A 24 85 9E E6 B8 15 D3 16 7F BB B1
	Applied policy	OID : 1.3.171.1.1.1.10
	Validity	<i>05 March 2015 until 05 March 2035</i>

<sup>1</sup> in the following termed shortly "CAB"

Identification of the audited-CA:	<i>LUXTRUST Sub CA</i>	
	Distinguished Name	<i>LuxTrust SSL CA 5</i>
	SHA-256 fingerprint	C0 68 A8 A7 CC 2F 08 BE 0E 9A 1D DB 0D F0 1E 2F 7A E7 9B FE 50 31 22 C5 52 BB 7B 33 6A 1F D6 F4
	Certificate Serial number	SN : 5D 87 C9 ED 07 E5 E0 2F 22 BD B0 A1 33 75 A7 6A 65 F6 4C 84
	Applied policy	OID : 1.3.171.1.1.1.10.5
	Validity	Valid from 13 April 2016 to 05 March 2035

Identification of the audited-CA:	<i>LUXTRUST Sub CA</i>	
	Distinguished Name	<i>LuxTrust Global Qualified CA 3</i>
	SHA-256 fingerprint	BE D0 F1 9E D4 6D 94 90 0D 2A 9F CD 7C 6F 66 0B 61 FF 75 88 D8 B7 1C F8 F2 79 D5 FA A3 02 1C CF
	Certificate Serial number	SN : 41 3D EA 1A 28 C2 25 38 45 55 8E 04 7F 3E 2A 8B 5B 9B AE AE
	Applied policy	OID : 1.3.171.1.1.1.10.3
	Validity	Valid from 06 March 2015 to 05 March 2035

Identification of the audited-CA:	<i>LUXTRUST Sub CA</i>	
	Distinguished Name	<i>LuxTrust Global Corporate CA</i>
	SHA-256 fingerprint	AE 37 3E 48 8D D1 3D EF 71 61 1D 52 F0 B5 17 9D D6 48 24 1A 38 1F 67 A8 07 34 F6 61 5F F6 E6 CA
	Certificate Serial number	SN : 3D 72 9D B0 D4 A2 3D EF B3 62 55 94 75 03 DF A8
	Applied policy	OID : 1.3.171.1.1.1.10.4
	Validity	Valid from 12 December 2017 to 05 March 2035

Identification of the audited-CA:	<i>LUXTRUST Sub CA</i>	
	Distinguished Name	<i>LuxTrust Global Timestamping CA</i>
	SHA-256 fingerprint	53 0B 1D 74 9B E7 AD 3A 7B B7 83 26 B2 81 DB CC 5D F6 EA 5F 41 4A 7B 29 B9 70 B6 54 8B 04 49 07
	Certificate Serial number	SN : 0EDB
	Applied policy	OID : 1.3.171.1.1.1.10.8
	Validity	Valid from 28 August 2012 to 17 March 2021

The audit was performed as full annual audit at the TSP's location in *Luxembourg*. It took place from 26/03/2018 until 30/03/2018 and covered the period from 31/03/2017 until 30/03/2018. The audit was performed according to the European Standards “*ETSI EN 319 411-2, V2.1.1 (2016-02)*”, “*ETSI EN 319 411-1, V1.1.1 (2016-02)*” including CA Browser Forum Requirements “*EV SSL Certificate Guidelines, version 1.6.7*” and “*Baseline Requirements, version 1.5.6*” considering the requirements of the “*ETSI EN 319 403, V2.2.2 (2015-08)*” for the Trust Service Provider Conformity Assessment.

The full annual audit was based on the following policy and practice statement documents of the TSP:

[CPS]	luxtrust_global_root_ca_certification_practice_statements_v1_10 luxtrust_global_qualified_ca_certification_practice_statements_v1_10 luxtrust_global_corporate_ca_certification_practice_statements_v1_0 luxtrust_ssl_ca_cps_v1.6
[PDS]	PDS v1.3

The Sub-CAs that have been issued by the aforementioned Root-CA and that have been covered by this audit are listed in table 1 below. The TSP assured that all non-revoked Sub-CA's that are technically capable of issuing server or email certificates and that have been issued by this Root-CA are in the scope of regular audits.

Identification of the Sub-CA/DN	Audited	Certificate Serial number OID	Applied policy	Level	Standard	Service	EKU
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.1	QCP+	Level Qualified	eIDAS Art. 51 2.	Signature	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.2	NCP+	Non qualified	ETSI TS 102 042	Authentication & Encryption	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.3	QCP	Level Qualified	eIDAS Art. 51 2.	Signature	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.4	NCP	Non qualified	ETSI TS 102 042	Authentication & Encryption	-
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.5	NCP	Non qualified	ETSI TS 102 042	signature, authentication & encryption	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.6	NCP	Non qualified	ETSI TS 102 042	signature	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.7	LCP	Non qualified	ETSI TS 102 042	Signature	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.8	LCP	Non qualified	ETSI TS 102 042	Authentication & Encryption	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.9	LCP	Non qualified	ETSI TS 102 042	signature, authentication & encryption	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.10	QCP+	Qualified	ETSI TS 101456	signature	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.11	NCP+	Non qualified	ETSI TS 102 042	Authentication & Encryption	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.12	QCP	Qualified	ETSI TS 101456	signature	
LuxTrust Global Qualified CA 3	LuxTrust Global Qualified CA 3	1.3.171.1.1.10.3.13	QCP+	Level Qualified	eIDAS Art. 51 2.	Signature	-
LuxTrust Global Qualified CA 3	LuxTrust Global Qualified CA 3	1.3.171.1.1.10.3.14	NCP+	Non qualified	ETSI TS 102 042	Authentication & Encryption	-

This template (version 2 as of 2018-03-05) was approved for use by ACAB-c. It may only be used to without modification.

Identification of the Sub-CA/DN	Audited	Certificate Serial number OID	Applied policy	Level	Standard	Service	EKU
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.15	NCP+			seal	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.16	LCP+			signature	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.17	LCP+			signature, authentication & encryption	
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.18	NCP+	Non qualified	ETSI TS 102 042	Seal	Timestamping
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.19				Seal	Timestamping
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.20	LCP				signing server
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.21	QCP-I+qscd	Level Qualified	EN 319 411-2	Qualified seal	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.22	NCP+	Non qualified	EN 319 411-1	Seal	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.23	NCP+	Non qualified	EN 319 411-1	Seal	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.24	NCP+	Non qualified	EN 319 411-1	Seal	-
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.25	NCP+	Non Qualified	EN319411-1	seal	
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.26	QCP-n-qscd	Level Qualified	EN 319 411-2	Signature	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.27	NCP+	Non qualified	EN 319 411-1	Authentication	-
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.28	QCP-n-qscd	Level Qualified		signature	

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Identification of the Sub-CA/DN	Audited	Certificate Serial number OID	Applied policy	Level	Standard	Service	EKU
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.29	NCP+	Non qualified	EN 319 411-1	Authentication & Encryption	
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.30	QCP-n-qscd	Level Qualified	EN 319 411-2	Signature	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.31	NCP+	Non qualified	EN 319 411-1	Authentication	-
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.33	QCP-l-qscd	Level Qualified	ETSI EN 319 411-2	Seal	
LuxTrust Global Qualified CA 3	No	1.3.171.1.1.10.3.32	QCP-n-qscd	Level Qualified	ETSI EN 319 411-2	signature	
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.34	QCP-n-qscd	Level Qualified	EN 319 411-2	Signature	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.35	NCP+	Non qualified	EN 319 411-1	Authentication & Encryption	-
LuxTrust Global Qualified CA 3	Yes	1.3.171.1.1.10.3.36	LCP	Non qualified	EN 319 411-1	seal	-
LuxTrust SSL CA	LuxTrust SSL CA	1.3.171.1.1.10.5.1	OVCP	Non qualified	EN 319 411-1	Server authentication	Server authentication Client authentication Email protection
LuxTrust SSL CA	LuxTrust SSL CA	1.3.171.1.1.10.5.2	EVCP	Non qualified	EN 319 411-1	Server authentication	Server authentication Client authentication
LuxTrust SSL CA	No	1.3.171.1.1.10.5.3	EVCP+	Non qualified	EN 319 411-1		
LuxTrust SSL CA	No	1.3.171.1.1.10.5.4					object signing
LuxTrust SSL CA	No	1.3.171.1.1.10.5.5					SSL/TSL client certificate

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Identification of the Sub-CA/DN	Audited	Certificate Serial number OID	Applied policy	Level	Standard	Service	EKU
LuxTrust SSL CA	LuxTrust SSL CA	1.3.171.1.1.10.5.6	QCP-w	Level Qualified	EN 319 411-2	Client & Server Authentication EV	Server authentication Client authentication
Luxtrust Time Stamp	Yes	1.3.171.1.1.1.10.8.1	TSA	Level Qualified	ETSI EN 319 421	Horodatage	Timestamping
Luxtrust Global Corporate CA	Yes	1.3.171.1.1.1.10.4.1	LCP	Non qualified	EN 319 411-1	Authentication	-
Luxtrust Global Corporate CA	Yes	1.3.171.1.1.1.10.4.2	LCP	Non qualified	EN 319 411-1	Authentication	-

**Table 1: Sub-CA's issued by the Root-CA**



**Modifications records**

<b>Version</b>	<b>Issuing Date</b>	<b>Changes</b>
Version 1	29 June 2018	Initial attestation

**End of the audit attestation letter**